

# Subgroups of minimal index in polynomial time

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## Abstract

Let  $G$  be a finite group and let  $H$  be a proper subgroup of  $G$  of minimal index. By applying an old result of Y. Berkovich, we provide a polynomial algorithm for computing  $|G : H|$  for a permutation group  $G$ . Moreover, we find  $H$  explicitly if  $G$  is given by a Cayley table. As a corollary, we get an algorithm for testing whether a finite permutation group acts on a tree or not.

**Keywords:** subgroup of minimal index, minimal permutation representation, group representability problem, group representability on trees, permutation group algorithms.

## 1 Introduction

In [1] S. Dutta and P.P. Kurur introduced the following:

**Group representability problem.** *Given a group  $G$  and a graph  $\Gamma$  decide whether there exists a nontrivial homomorphism from  $G$  to the automorphism group of  $\Gamma$ .*

By [1, Theorem 3], the graph isomorphism problem reduces to the abelian group representability problem, so the latter inherits the notorious difficulty of the former.

As an attack from a different angle, one can consider the problem of group representability on trees. In [1] authors speculate that there might be no polynomial algorithm even for such a restriction. Nevertheless, in [1, Theorems 6 and 8] they provide a polynomial reduction of that problem to the

**Permutation representability problem.** *Given a group  $G$  and a positive integer  $n$ , decide whether there exists a nontrivial homomorphism from  $G$  into the symmetric group  $Sym_n$ .*

Denote by  $\kappa(G)$  the degree of a minimal (not necessarily faithful) nontrivial permutation representation of  $G$ . Since such permutation representations are always transitive, we see that  $\kappa(G) = \min\{|G : H| \mid H < G\}$ . Notice that permutation representability problem reduces to the task of computing  $\kappa(G)$ ,

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since for  $n \geq \kappa(G)$  there always exists a nontrivial homomorphism from  $G$  into  $Sym_n$ .

Now, let  $\mu(G)$  be the degree of a minimal faithful permutation representation of  $G$ . Obviously  $\kappa(G) \leq \mu(G)$  and the equality should not hold in general. The following not widely known theorem of Berkovich tells us exactly when it holds.

**Theorem 1** ([2, Theorem 1]). *Let  $G$  be a finite group.  $G$  is simple if and only if  $\kappa(G) = \mu(G)$ .*

As a consequence, if  $H$  is a proper subgroup of minimal index in  $G$ , then  $G/\text{core}_G(H)$  is a simple group, where  $\text{core}_G(H) = \bigcap_{g \in G} H^g$ . This observation allows one to search for subgroups of minimal index only in simple quotients of  $G$ . We have the following result.

**Theorem 2.** *Let  $G$  be a finite permutation group given by generators. Then  $\kappa(G)$  can be computed in polynomial time in the degree of  $G$ .*

**Corollary.** *The group representability on trees where the group is presented as a permutation group via a generating set can be solved in polynomial time.*

We note that in [1] authors are mainly focused on groups given by Cayley tables, so we in fact answered a more general question.

Notice that we do not claim to find the subgroup of minimal index itself (which is required to reconstruct the corresponding action of a group on a tree). Nevertheless, in the case when the group is given by its Cayley table, it is possible to enumerate all such subgroups.

**Theorem 3.** *Let  $G$  be a finite group given by its Cayley table. Then the set  $\{H < G \mid |G : H| = \kappa(G)\}$  can be computed in time polynomial in  $|G|$ .*

It might be very plausible that (at least one) subgroup of minimal index can be computed in polynomial time in the case of permutation groups, but it most certainly would need a more advanced machinery.

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## 2 Proof of Theorem 1

The article [2] besides the original proof by Berkovich (originating in [3]) contains another very short and elegant proof attributed by the author to M.I. Isaacs. We reproduce it with almost no changes for the sake of completeness.

If  $G$  is simple, then clearly  $\kappa(G) = \mu(G)$ . Therefore it suffices to prove the converse statement.

Let  $H$  be a subgroup of index  $\kappa(G)$  in  $G$  such that  $\text{core}_G(H) = 1$ . Suppose that  $N$  is a nontrivial proper normal subgroup of  $G$ . Since  $H$  is maximal, we have  $G = NH$ . Let  $U$  be a subgroup of  $H$  minimal with  $G = NU$ . Obviously

$U > 1$ , and  $U$  does not lie in  $H^g$  for some  $g \in G$ . Set  $V = U \cap H^g < U$ . We have

$$|G : NV| = |NU : NV| = \frac{|N||U||N \cap V|}{|N||V||N \cap U|} \leq |U : V| < |G : H|,$$

since  $|U : V| = |UH^g : H^g| = |UH^g|/|H|$  and  $UH^g \subseteq HH^g \subset G$ . By minimality of  $|G : H|$  it follows that  $G = NV$ , contrary to the choice of  $U$ .

### 3 Proof of Theorem 2

In what follows, we assume the standard polynomial-time toolbox from [4].

Let  $S$  be a simple group. Denote by  $O^S(G)$  the minimal normal subgroup of  $G$  such that each composition factor of  $G/O^S(G)$  is isomorphic to  $S$ . It is noted in [4] that an algorithm for computing  $O^S(G)$  in polynomial time is implicit in [5].

Now let  $G$  be a permutation group given by its generators. Compute the composition series of  $G$ , and let  $\Sigma$  be the collection of isomorphism types of composition factors. By Theorem 1, if  $H$  is a subgroup of minimal index, then it contains the maximal normal subgroup  $N = \text{core}_G(H)$ . The quotient  $G/N$  is simple, therefore its isomorphism type  $S$  lies in  $\Sigma$  and  $O^S(G) \leq N < G$ . Moreover,  $\kappa(G) = \kappa(G/N) = \mu(S)$ , so

$$\kappa(G) = \min\{\mu(S) \mid S \in \Sigma, O^S(G) < G\},$$

where  $\mu(S)$  can be found by checking the description of minimal faithful permutation representations of finite simple groups, which is well-known (for example, see [6, Table 4] for groups of Lie type and [7, Table 4] for sporadic simple groups). Since all steps can be performed in polynomial time, we obtain the required algorithm.

### 4 Proof of Theorem 3

The key observation is the following.

**Lemma 1.** *Let  $G$  be a finite simple group given by its Cayley table. Then the set of maximal subgroups of  $G$  can be computed in time polynomial in  $|G|$ .*

*Proof.* Try all possible 4-tuples of elements of  $G$  (there are  $|G|^4$  of those) and generate corresponding subgroups. One can test in polynomial time if a given subgroup is maximal, so we obtain the list of all maximal subgroups of  $G$  generated by 4 elements. By [8, Theorem 1] every maximal subgroup of a finite simple group is 4-generated, so we in fact found all maximal subgroups of  $G$ .  $\square$

Set  $\mathcal{M}(G) = \{N < G \mid N \text{ is a normal subgroup of } G, \text{ and } G/N \text{ is simple}\}$ , and recall that we can compute  $\mathcal{M}(G)$  in polynomial time even for permutation

groups (see the proof of [5, Lemma 7.4]). Notice that we can find the following set in polynomial time:

$$\mathcal{A}_N = \{H < G \mid N \leq H, |G : H| = \kappa(G)\}.$$

Indeed,  $\kappa(G)$  can be computed in polynomial time by Theorem 2, and obviously the Cayley table for  $G/N$  can be found in polynomial time, thus by Lemma 1 we can find all maximal subgroups of  $G/N$ . By taking preimages and keeping only subgroups of index equal to  $\kappa(G)$ , we find the required set.

Now, by Theorem 1 every subgroup  $H$  with  $|G : H| = \kappa(G)$  contains a maximal normal subgroup. Therefore  $\{H < G \mid |G : H| = \kappa(G)\} = \bigcup_{N \in \mathcal{M}(G)} \mathcal{A}_N$ , and this set can be computed in polynomial time.

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